

| 1. HUMAN SAFETY AND WELLBEING | | | | | | | | | |
|-------------------------------|--|--|--------------------------|--------------|--------------------|--|-----------------|-------------|--|
| No. | Risks | Current Control Method | Residual Risk Assessment | | | Further Control Actions Required | Lead Risk Owner | | Further Control Action Required By |
| | | | Likelihood | Consequences | Overall Risk Level | | CEO / Director | Manager | |
| 1.1 | Failure to protect the health and safety of employees while undertaking their duties (including but not limited to stress and excessive workloads) | <ul style="list-style-type: none"> Emergency evacuation plans in place for: <ol style="list-style-type: none"> Administration/Civic/Library Leisure Centres Libraries Works Operation Centre Winton Road Depot Safety Management Plan Safety training Strategic safety resources through LGIS (insurance and risk management partnership) Ongoing audits of safety systems to AS4801 (Occupational Health and Safety Management Systems) or equivalent by LGIS Asbestos Management Plan Annual maintenance programs Tool box meetings Training / inductions identified during Individual Development Action Plans process (performance reviews) Follow ISO:9001 (Quality Management Systems) processes | Possible | Major | Moderate | 1.1.1 Emergency Planning Committee to undertake review of evacuation plans for Administration / Civic / Library buildings including suitable training for sign off by Chief Fire Warden | DIS | N/A | At least one review per year |
| | | | | | | 1.1.2 Evacuation drill to be performed for Administration / Civic / Library buildings | DIS | CFW and MAM | At least one evacuation drill per year |
| | | | | | | 1.1.3 Buildings built before 1990 and not previously suspected of having asbestos containing materials will be tested with actions to be taken to remove or control | DIS | MAM | 30 August 2014 |
| | | | | | | 1.1.4 Fire and evacuation drills to be performed at leisure centres on a regular basis | DCS | MLCS | Two per year |
| | | | | | | 1.1.5 Fire and evacuation drills to be performed at libraries on a regular basis | DPCD | MCDLS | Quarterly |
| | | | | | | 1.1.6 All City Buildings to have notices installed describing exits, muster points and evacuation plans | DIS | MAM | 31 December 2014 |
| | | | | | | 1.1.7 Further development required to safety systems to achieve gold level status | DGS | MHR | 31 December 2014 |
| 1.2 | Death or serious injury to an employee | <ul style="list-style-type: none"> Safety Management Plan Ongoing education in safety matters and skills Safety protocols Safe Work Method Statements Strategic safety resource in place through LGIS | Rare | Catastrophic | Low | 1.2.1 Preparation of a Critical Incident Response Protocol for approval by the Chief Executive Officer | DGS | MHR | 28 February 2014 |

**City of Joondalup
Corporate Risk Register**

| 1. HUMAN SAFETY AND WELLBEING | | | | | | | | | |
|--------------------------------------|--|--|---------------------------------|---------------------|---------------------------|--|------------------------|----------------------------|---|
| No. | Risks | Current Control Method | Residual Risk Assessment | | | Further Control Actions Required | Lead Risk Owner | | Further Control Action Required By |
| | | | Likelihood | Consequences | Overall Risk Level | | CEO / Director | Manager | |
| 1.3 | Failure to respond, implement and comply with new Work Health and Safety Regulations / Harmonisation when they are introduced | <ul style="list-style-type: none"> Keeping updated on developments through conferences, briefing sessions etc Strategic Safety Advisor from LGIS keeping City updated and developing new systems, processes and practices with impending legislation | Unlikely | Medium | Moderate | No further actions required | DGS | MHR | Not applicable |
| 1.4 | Health and safety of visitors to City events is put at risk | <ul style="list-style-type: none"> Risk Management Plans developed for each event and subject to Internal Auditor review Staff briefed on requirements and procedures within Risk Management Plans Undertake debrief and review of event | Possible | Major | Moderate | No further actions required | DCS | MLCS | Not applicable |
| 1.5 | Death or serious injury of contractors and members of the community due to failing infrastructure | <ul style="list-style-type: none"> Annual condition assessments undertaken on City buildings to prioritise maintenance response City buildings have been controlled or removal has occurred for suspected asbestos containing materials Capital Works Programs includes the upgrade and renewal of City infrastructure Annual maintenance programs for engineering capital works construction and resurfacing programs | Possible | Major | Moderate | 1.5.1 Implement corporate integrated asset management system to comply Department of Local Government's Integrated Planning and Reporting Framework | DIS | MAM MIMS MOS | 2017 |
| 1.6 | Inappropriate behaviour by employee or volunteer towards a child, young person or aged person while they are participating in City programs and services | <ul style="list-style-type: none"> Relevant staff and volunteers subject to working with children checks, police checks Appropriately qualified staff Supervision and training by the City Undertake debrief and review at conclusion of each event | Possible | Catastrophic | High | 1.6.1 Staff and volunteer training in recognising elder abuse to be conducted. | DPCD | MCDLS | 31 June 2014 |
| | | | Possible | Major | Moderate | No further actions required | DCS | MLCS | Not applicable |

City of Joondalup
Corporate Risk Register

| 2. LEGISLATIVE AND COMPLIANCE | | | | | | | | | |
|-------------------------------|--|---|--------------------------|--------------|--------------------|---|-----------------|---------|------------------------------------|
| No. | Risks | Current Control Method | Residual Risk Assessment | | | Further Control Actions Required | Lead Risk Owner | | Further Control Action Required By |
| | | | Likelihood | Consequences | Overall Risk Level | | CEO / Director | Manager | |
| 2.1 | <p>Failure to comply with legislative obligations or respond to new legislation which may result in injury or death to employees or public</p> <p>Failure to deliver services</p> <p>Adverse publicity</p> <p>Financial penalties and/or imprisonment</p> <p>Value for money not being achieved</p> <p>Increased risk of fraud and/or misconduct</p> | <ul style="list-style-type: none"> • Policies, protocols and procedures • Access to legal advice • Legislative changes gazetted • Principal Legal Officer responsible for monitoring legislative changes and advising on implementation of new procedures • Annual Compliance Audit Return submitted to Department of Local Government and Communities • Membership of advisory bodies and expert organisations for advice on compliance matters • Corporate training (including Managers and Directors) | Unlikely | Medium | Moderate | 2.1.1 Development of a Corporate Health Checklist | CEO | A/MERS | 30 June 2014 |
| | | | | | | 2.1.2 Development of a Corporate Compliance Calendar | DGS | MG | 30 June 2014 |

**City of Joondalup
Corporate Risk Register**

| 3. ENVIRONMENTAL | | | | | | | | | |
|-------------------------|--|--|---------------------------------|---------------------|---------------------------|---|------------------------|----------------|---|
| No. | Risks | Current Control Method | Residual Risk Assessment | | | Further Control Actions Required | Lead Risk Owner | | Further Control Action Required By |
| | | | Likelihood | Consequences | Overall Risk Level | | CEO / Director | Manager | |
| 3.1 | Failure to prepare and adapt to the impacts of climate change | <ul style="list-style-type: none"> Climate Change Strategy under development | Unlikely | Medium | Moderate | 3.1.1 Climate Change Strategy to be finalised | DGS | MSOD | April 2014 |
| 3.2 | City operations result in long-term and permanent damage to the environment | <ul style="list-style-type: none"> Biodiversity Action Plan 2009-2019 Environmental Plan 2013-2018 under development | Unlikely | Medium | Moderate | 3.2.1 Environmental Plan to be finalised | DGS | MSOD | April 2014 |
| 3.3 | Contractor operations result in long-term or permanent damage to the environment | <ul style="list-style-type: none"> Specific requirements documented in tenders and contracts Contract superintendents appointed to monitor and report on contractor performance Training of new staff in project management techniques and contract superintendent requirements. | Possible | Major | Moderate | No further actions required | DIS | MOS | Not applicable |
| 3.4 | Failure to meet water saving targets | <ul style="list-style-type: none"> Water Plan 2012-2015 Participation in Water Wise Council's Program Report annually to the Department of Water on groundwater consumption | Possible | Medium | Moderate | 3.4.1 Monitoring of Water Plan 2012-2015 | DGS | MSOD | 31 December each year |
| 3.5 | Fire in natural areas that threatens humans, property and animals | <ul style="list-style-type: none"> Fire Management Plan City undertakes annual responsibilities as per the Bush Fires Act 1955 Chief Bush Fire Control Officer ensures land holders in the district are notified of their obligations Operations Services conduct fire break and fuel reduction activities as directed by the City's Chief Bush Fire Control Officer | Likely | Major | High | 3.5.1 Update Fire Management Plan | DGS | MSOD | June 2015 |
| | | | | | | | DCS | MRPCS | Not applicable |

City of Joondalup
Corporate Risk Register

| 3. ENVIRONMENTAL | | | | | | | | | |
|------------------|---|---|--------------------------|--------------|--------------------|---|-----------------|---------|------------------------------------|
| No. | Risks | Current Control Method | Residual Risk Assessment | | | Further Control Actions Required | Lead Risk Owner | | Further Control Action Required By |
| | | | Likelihood | Consequences | Overall Risk Level | | CEO / Director | Manager | |
| 3.6 | Public put at danger from high risk and/or fragile coastal foreshore areas | <ul style="list-style-type: none"> • Signs and fencing installed • Scheduled and ad-hoc inspections • Temporary closures (when required) following inspections • External assessments undertaken when required • Preventative maintenance undertaken • Coastal vulnerability studies undertaken | Possible | Catastrophic | High | 3.6.1 Finalise Coastal Foreshore Management Plan 2014 - 2024 | DIS | MOS | 30 June 2014 |
| 3.7 | Illness or injury to the public due to issues of food safety at food businesses within the City | <ul style="list-style-type: none"> • Food businesses are risk rated • Procedures in place (inspections and enforcement action) • City offers free food safety training to all food businesses • Food sampling programs undertaken • Talks and presentations undertaken on food safety matters | Possible | Major | Moderate | No further actions required | DPCD | MCRS | Not applicable |

**City of Joondalup
Corporate Risk Register**

| 4. STRATEGIC AND GOVERNANCE POSITION | | | | | | | | | |
|---|---|--|---------------------------------|---------------------|---------------------------|---|------------------------|----------------|---|
| No. | Risks | Current Control Method | Residual Risk Assessment | | | Further Control Actions Required | Lead Risk Owner | | Further Control Action Required By |
| | | | Likelihood | Consequences | Overall Risk Level | | CEO / Director | Manager | |
| 4.1 | Elected body that is unsure of its roles and responsibilities | <ul style="list-style-type: none"> • Induction Program • Access to legal advice • Governance Framework • Local Government (Rules of Conduct) Regulations 2007 • Code of Conduct • Candidate Information Sessions • Elected Member policies • Meeting procedures Local Law 2013 • Short Guides | Unlikely | Minor | Low | No further actions required | CEO | MG | Not applicable |
| 4.2 | City fails to actively engage with the community | <ul style="list-style-type: none"> • Community Engagement and Consultation Protocol | Possible | Medium | Moderate | No further actions required | DGS | MSOD | Not applicable |
| 4.3 | Community loses confidence in the City and its Elected Members | <ul style="list-style-type: none"> • Regular meeting cycle • Strategy Sessions and Briefing Sessions • Bi-ennial Local Government elections | Unlikely | Minor | Low | No further actions required | DGS | MG | Not applicable |
| 4.4 | Executive Leadership Team lacks the experience and skills to deliver the Strategic Community Plan | <ul style="list-style-type: none"> • Monthly and quarterly review of progress with Managers and Directors | Possible | Major | Moderate | No further actions required | CEO | N/A | Not applicable |
| 4.5 | Negative relations between the Chief Executive Officer and Mayor and Elected Members | <ul style="list-style-type: none"> • Governance Framework | Possible | Minor | Moderate | No further actions required | CEO | N/A | Not applicable |
| 4.6 | City's reputation adversely portrayed | <ul style="list-style-type: none"> • Experienced Manager Marketing and Communications and media team with strong working relationships with key State wide media outlets • Manager and media team monitor and control tight media protocols | Possible | Medium | Moderate | No further actions required | DGS | MMC | Not applicable |

City of Joondalup
Corporate Risk Register

| 4. STRATEGIC AND GOVERNANCE POSITION | | | | | | | | | |
|--------------------------------------|---|--|--------------------------|--------------|--------------------|--|-----------------|---------|------------------------------------|
| No. | Risks | Current Control Method | Residual Risk Assessment | | | Further Control Actions Required | Lead Risk Owner | | Further Control Action Required By |
| | | | Likelihood | Consequences | Overall Risk Level | | CEO / Director | Manager | |
| 4.7 | High profile activities / comments by Mayor or an Elected Member of the City have potential to attract negative publicity for the City | <ul style="list-style-type: none"> Code of Conduct Provisions of the Local Government Act 1995 Media Protocol | Unlikely | Major | Moderate | No further actions required | CEO | N/A | Not applicable |
| 4.8 | Misconduct by employees or Elected Members | <ul style="list-style-type: none"> Code of Conduct Local Government (Rules of Conduct) Regulations 2007 Public Interest Disclosure Act 2003 Corruption and Crime Commission Act 2003 Gift Register maintained On-line corporate training | Possible | Major | Moderate | 4.8.1 Develop on-line Code of Conduct training module for employees | DGS | MG | 30 March 2014 |
| 4.9 | Risks associated with social media including: <ul style="list-style-type: none"> Negative campaign harming City's reputation Comments / actions by employees attributed to the City resulting in legal action Release of private, confidential or sensitive information Increased risk of cyber attack Monitoring by City interrupted for a period (staff absence) | <ul style="list-style-type: none"> Experienced Manager and media team Social media staff protocol and user guidelines for users and Elected Members | Possible | Major | Moderate | 4.9.1 Social Media protocols to be reviewed 12 months after social media launch | DGS | MMC | 30 April 2014 |
| 4.10 | Risks arising from complacency regarding the restructure of local government | <ul style="list-style-type: none"> Monitoring of local government reform activities Lobbying for matters relevant to the City | Rare | Major | Low | 4.10.1 Monitor reform activities relating to operational activities | DGS | N/A | 30 June 2015 |
| 4.11 | Poor intergovernmental relations | <ul style="list-style-type: none"> Regional leadership Appropriate representation on relevant groups and committees | Possible | Medium | Moderate | No further actions required | CEO | N/A | Not applicable |

**City of Joondalup
Corporate Risk Register**

| 5. SERVICE DELIVERY AND BUSINESS CONTINUITY | | | | | | | | | |
|--|--|---|---------------------------------|---------------------|---------------------------|---|------------------------|--------------------|---|
| No. | Risks | Current Control Method | Residual Risk Assessment | | | Further Control Actions Required | Lead Risk Owner | | Further Control Action Required By |
| | | | Likelihood | Consequences | Overall Risk Level | | CEO / Director | Manager | |
| 5.1 | Unable to deliver the Capital Works Program May include, but not be limited to, factors such as financial implications of project creep due to unrealistic expectations of the community; Elected Members' requests | <ul style="list-style-type: none"> Capital Works Committee Capital Works Program subject to continual monitoring and review Capital Works Program subject to scrutiny by Financial Review Taskforce Project Management Framework Facility refurbishments planned and costed two years ahead to improve cost estimates and inform budget Project Management included in Corporate Training Program | Likely | Medium | Moderate | No further actions required | DIS | MAM MIMS MOS | Not applicable |
| 5.2 | Business of the City is interrupted due to unforeseen circumstances (fire, flooding, structural damage etc) | <ul style="list-style-type: none"> Business Continuity Plan being developed Emergency Management Risk Register | Unlikely | Major | Moderate | 5.2.1. Annual review of Business Continuity Plan to be undertaken | CEO | A/MERS | 30 June 2015 |
| | | | | | | 5.2.1 Annual desktop recovery exercise and training in emergency response and recovery functions for local government | DIS | MAM | 30 June 2014 |
| 5.3 | Impact on major systems due to failure of information technology systems | <ul style="list-style-type: none"> Information Technology Disaster Recovery Plan Backup and restore to major systems Planned maintenance program Firewalls and virus protection software | Unlikely | Medium | Moderate | 5.3.1 Risk assessment to be undertaken | CEO | A/MERS | 31 December 2014 |
| | | | | | | 5.3.2 Annual review to be undertaken | DCS | MIT | 31 January each year |

**City of Joondalup
Corporate Risk Register**

| 6. FINANCIAL AND ECONOMIC | | | | | | | | | |
|---------------------------|---|---|--------------------------|--------------|--------------------|---|-----------------|-----------|------------------------------------|
| No. | Risks | Current Control Method | Residual Risk Assessment | | | Further Control Actions Required | Lead Risk Owner | | Further Control Action Required By |
| | | | Likelihood | Consequences | Overall Risk Level | | CEO / Director | Manager | |
| 6.1 | Over reliance on rates revenue and failure to identify alternative income streams places financial sustainability of the City at risk | <ul style="list-style-type: none"> 20 Year Strategic Financial Plan Ongoing review and monitoring Annual progress report to Chief Executive Officer | Unlikely | Minor | Low | 6.1.1 Annual review of 20 Year Strategic Financial Plan | DCS | N/A | September each year |
| 6.2 | The delivery and funding of major projects is threatened due to ineffective planning | <ul style="list-style-type: none"> Project Management Framework Capital Works Committee Ongoing review and monitoring of Project Management Framework Monthly and quarterly review of progress by Managers and Directors to Chief Executive Officer | Unlikely | Medium | Moderate | No further actions required | CEO | N/A | Not applicable |
| 6.3 | Failure to meet legal, statutory and contractual financial obligations | <ul style="list-style-type: none"> Ongoing review and monitoring of Project Management Framework Reporting to the Audit Committee | Rare | Medium | Low | No further actions required | DCS | MFS | Not applicable |
| 6.4 | Failure to achieve value for money | <ul style="list-style-type: none"> Purchasing Protocols in place that cover tendering for goods and services, quotations for goods and services, purchasing of goods and services, panel contracts and contract variations | Possible | Major | Moderate | 6.4.1 Develop a Purchasing Policy | CEO | A/MERS | 30 June 2014 |
| | | | | | | 6.4.2 Review of purchasing protocols and tender evaluation methods and update where necessary | DCS | MFS | 28 February 2014 |
| 6.5 | Financial loss due to fraudulent activity by employees or contractors | <ul style="list-style-type: none"> Segregation of duties in financial systems Weekly non-compliance purchasing reports | Possible | Major | Moderate | 6.5.1 Undertake a fraud and misconduct risk assessment | CEO | A/MERS | 30 June 2015 |
| | | | | | | 6.5.2 Initiate further independent consultant review of purchasing compliance and report to Audit Committee | CEO | A/MERS | 10 March 2014 |
| | | | | | | 6.5.3 Undertake a review of system access rights and the responsibilities for creating and assigning profiles to users | DCS | MFS / MIT | 31 March 2014 |
| 6.6 | Missed opportunities to obtain external funding (State and Federal grants, distinct projects or initiatives etc) | <ul style="list-style-type: none"> Dedicated resource to coordinate grants and awards | Unlikely | Medium | Moderate | 6.6.1 Grant Management System to be reviewed | DGS | MSOD | 30 June of each year |

**City of Joondalup
Corporate Risk Register**

| 6. FINANCIAL AND ECONOMIC | | | | | | | | | |
|----------------------------------|--|--|---------------------------------|---------------------|---------------------------|---|------------------------|----------------|---|
| No. | Risks | Current Control Method | Residual Risk Assessment | | | Further Control Actions Required | Lead Risk Owner | | Further Control Action Required By |
| | | | Likelihood | Consequences | Overall Risk Level | | CEO / Director | Manager | |
| 6.7 | Financial implications from an incident that is not insured or adequately insured | <ul style="list-style-type: none"> Annual reviews with the City's insurance provider (review to be informed by the insurer's claims experience and level of cover) Regular review of claims to ensure all possible incidents are covered | Possible | Medium | Moderate | No further actions required | DCS | MFS | Not applicable |
| 6.8 | Financial loss or failure to achieve the most favourable returns from investments | <ul style="list-style-type: none"> Ongoing review of Investment Policy | Possible | Medium | Moderate | No further actions required | DCS | MFS | Not applicable |
| 6.9 | Lack of progress towards development within the City in a manner that achieves appropriate land use and high quality built form outcomes | <ul style="list-style-type: none"> District Planning Scheme No. 2 being reviewed Local Planning Strategy being reviewed Local Housing Strategy Local Commercial Strategy Joondalup City Centre Activity Centre Structure Plan being developed | Possible | Medium | Moderate | 6.9.1 Implement recommendations of Local Housing Strategy and correct anomalies in Scheme via endorsed Scheme Amendments; continue review of balance of Scheme text | DPCD | MPS | 30 June 2014 |
| | | | | | | 6.9.2 Council endorsement of revised Local Planning Strategy | DPCD | MPS | 30 June 2014 |
| | | | | | | 6.9.3 Implement Local Commercial Strategy via recommendation in Local Planning Strategy (6.9.2 above) and new Scheme and policy provisions as appropriate (6.9.1 above) | DPCD | MPS | 30 June 2014 |
| | | | | | | 6.9.4 Implement Joondalup City Centre Activity Centre Structure Plan | DPCD | MPS | December 2014 |

City of Joondalup
Corporate Risk Register

| 7. HUMAN RESOURCES | | | | | | | | | |
|--------------------|---|--|--------------------------|--------------|--------------------|---|-----------------|---------|------------------------------------|
| No. | Risks | Current Control Method | Residual Risk Assessment | | | Further Control Actions Required | Lead Risk Owner | | Further Control Action Required By |
| | | | Likelihood | Consequences | Overall Risk Level | | CEO / Director | Manager | |
| 7.1 | Unable to attract and retain suitably qualified employees, both for present requirements and changing future requirements | <ul style="list-style-type: none"> Workforce Plan under continual review and amended where necessary Include attractive and competitive conditions in Workplace Agreements Well established recruitment processes | Unlikely | Medium | Moderate | No further actions required | DGS | MHR | Not applicable |
| 7.2 | Loss of business / corporate knowledge | <ul style="list-style-type: none"> Policies, protocols and procedures Record Keeping Plan 2010 (as per the State Records Act 2000) | Likely | Medium | High | 7.2.1 Development of Corporate Knowledge Plan | DGS | MSOD | 30 June 2014 |
| | | | | | | 7.2.2 Update Record Keeping Plan in line with legislative requirements | DGS | MG | 1 May 2015 |
| 7.3 | Industrial action impacting on services of the City | <ul style="list-style-type: none"> Workplace Agreements in place, voted by employees and approved by Fair Work Australia prevents industrial action from legally occurring | Unlikely | Medium | Moderate | No further actions required | DGS | MHR | Not applicable |

**City of Joondalup
Corporate Risk Register**

| 8. ASSET MANAGEMENT AND INFRASTRUCTURE | | | | | | | | | |
|---|--|---|---------------------------------|---------------------|---------------------------|---|------------------------|----------------|--|
| No. | Risks | Current Control Method | Residual Risk Assessment | | | Further Control Actions Required | Lead Risk Owner | | Further Control Action Required By |
| | | | Likelihood | Consequences | Overall Risk Level | | CEO / Director | Manager | |
| 8.1 | Community buildings in disrepair with associated risks to health and value | <ul style="list-style-type: none"> Annual condition assessments undertaken in conjunction with existing building condition audit reports to prioritise Capital Works Program and maintenance response | Possible | Medium | Moderate | 8.1.1 External consultants to be engaged to perform a Building Condition Audit to identify any buildings in unacceptable condition that could cause health and value risks | DIS | MAM | 30 June 2014 |
| 8.2 | Waste reduction targets not being achieved and cost escalations related to the Resources Recovery Facility | <ul style="list-style-type: none"> Attendance at all Mindarie Regional Council meetings Attendance at all officer strategic working group meetings Attendance at all budget and strategic workshops Strategic Waste Minimisation Plan | Unlikely | Major | Moderate | No further action required | DIS | MIMS | Not applicable |
| 8.3 | Cost escalations and profits not realised from Materials Recovery Facility | <ul style="list-style-type: none"> Service being closely managed (expression of interest for new contract published in October 2013) | Possible | Major | Moderate | 8.3.1 New materials recovery contract to be in place | DIS | MIMS | 31 January 2015 |
| | | | | | | 8.3.2 Consider new options for recycling | DIS | MIMS | 31 January 2015 |
| 8.4 | Uncertainty, disagreement and lack of planning for the use of Tamala Park post landfill | <ul style="list-style-type: none"> Consultant's preliminary study providing three concepts for post landfill use City representation on Tamala Park Regional Council City representation on Mindarie Regional Council Representation on Tamala Park Regional Council Chief Executive Officers Group | Possible | Major | Moderate | 8.4.1 (Short Term) Fact gathering information on the overall site (short term) | CEO | A/MERS | 30 June 2014 |
| | | | | | | 8.4.2 (Long Term) <ul style="list-style-type: none"> Community Consultation Clear consultant scope and briefs Feasibility studies and economic appraisals Develop a Tamala Park Post Landfill Masterplan | CEO | N/A | Dependent on direction from Tamala Park Regional Council |

**City of Joondalup
Corporate Risk Register**

| 9. MAJOR COMMERCIAL CITY PROJECTS | | | | | | | | | |
|--|---|---|--------------------------|--------------|--------------------|---|------------------------------------|---------|------------------------------------|
| No. | Risks | Current Control Method | Residual Risk Assessment | | | Further Control Actions Required | Lead Risk Owner | | Further Control Action Required By |
| | | | Likelihood | Consequences | Overall Risk Level | | CEO / Director | Manager | |
| N.B. This section relates to all projects under the City Projects Business Unit and any other major projects that may be instigated e.g. development of a multi-story carpark | | | | | | | | | |
| 9.1 | Lack of funding from the City and external sources | <ul style="list-style-type: none"> Regular information provided to Elected Members Regular review and update of project plans to ensure accuracy and currency of information Collaboration with Senior Financial Analyst to ensure projects are reported through the City's relevant platforms (i.e. 20 year Financial Plan) | Possible | Major | High | 9.1.1 Liaison with State Government on future funding regime for construction. | CEO <i>City Projects</i> | MCP | Ongoing |
| | | | | | | | DCS <i>Multi storey carpark</i> | N/A | |
| 9.2 | Failure to obtain statutory approval | <ul style="list-style-type: none"> Memorandums of Understanding Regular liaison with State and Federal Government Regular monitoring by Chief Executive Officer and Manager City Projects | Possible | Major | High | No further action required | CEO <i>City Projects</i> | MCP | Not applicable |
| | | | | | | | DCS <i>Multi storey carpark</i> | N/A | |
| 9.3 | Lack of stakeholder support and negative perceptions from the community | <ul style="list-style-type: none"> Regular provision of information and project updates via website, social media and media releases Committees and stakeholder groups established Community Consultation and Engagement Policy and Protocols Memorandums of Understanding | Possible | Medium | Moderate | No further action required | CEO <i>City Projects</i> | MCP | Not applicable |
| | | | | | | | DCS <i>Multi storey carpark</i> | N/A | |
| 9.4 | Inadequate consultant performance | <ul style="list-style-type: none"> Clear and comprehensive consultant briefs Regular monitoring of consultant activity | Possible | Major | High | No further action required | CEO <i>City Projects</i> | MCP | Not applicable |
| | | | | | | | DCS <i>Multi storey carpark</i> | N/A | |
| 9.5 | Established timeframes for projects not being met | <ul style="list-style-type: none"> Project philosophy and parameters developed and endorsed by Council Progress of project plans regularly reported to Council Regular monitoring by project officers and Manager City Projects | Possible | Medium | Moderate | No further action required | CEO <i>City Projects</i> | MCP | Not applicable |
| | | | | | | | DCS <i>Multi storey carpark</i> | N/A | |